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PTO/SB/82 (04-05)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/667,200
Filing Date	September 18, 2003
First Named Inventor	Kerstin KUHN-WACHE
Art Unit	1654
Examiner Name	Satyanarayan R. Gudibande
Attorney Docket Number	PBD-00027 (formerly 20488/57)

**I hereby revoke all previous powers of attorney given in the above-identified application.**

☐ A Power of Attorney is submitted herewith.

**OR**

☒ I hereby appoint the practitioners associated with the Customer Number:

38724

☐ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

38724

**OR**

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

**I am the:**

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Signature

*Barbara A. Wood*

Name

Barbara A. Wood

Date

October 26, 2005

Telephone

(631)-962-2000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Prosidion LimitedApplication No./Patent No.: 10/667,200 Filed/Issue Date: September 18, 2003Entitled: Peptide Structures Useful for Competitive Modulation of Dipeptidyl Peptidase IV CatalysisProsidion Limited, a Company  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventors To: Probiobdrug AG  
The document was recorded in the United States Patent and Trademark Office at  
Reel 14620, Frame 201, or for which a copy thereof is attached.
2. From: Probiobdrug AG To: Prosidion Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Barbara A. Wood  
SignatureOctober 26, 2005

Date

Barbara A. Wood

Printed or Typed Name

(631)-962-2000

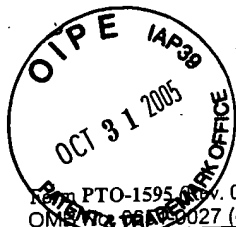
Telephone Number

Vice President and General Council

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Form PTO-1595 (Rev. 03/05)  
OMB No. 0645-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

PROBIODRUG AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 21 Mar 2005, 05 April 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: PROSIDION LIMITED

Internal Address: \_\_\_\_\_

Street Address: Windrush Court, Watlington Road

City: Oxford, OX4 6LT

State: \_\_\_\_\_

Country: United Kingdom

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

#### A. Patent Application No.(s)

11/042,562,60/368197,10/117022,11/022087,09/932546,10/970526  
10/200919,  
10/361956,10/727209,09/745776,10/735582,09/745883  
09/682968,10/246817,10/667200,60/443417,10/839122,  
60/467914,60/499535,60/507408

#### B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Alison Blakey

Internal Address: \_\_\_\_\_

Street Address: Windrush Court, Watlington Road

City: Oxford, OX4 6LT, United Kingdom

State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone Number: 011 44 1865 782634

Fax Number: 011 44 1865 782601

Email Address: ablakey@prosidion.com

### 6. Total number of applications and patents involved: 44

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,740.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-2783

Authorized User Name Shu Muk Lee

### 9. Signature:

Shu Muk Lee

Signature

Shu Muk Lee Reg # 41,147

Name of Person Signing

11 April 2005  
Date

Total number of pages including cover sheet, attachments, and documents: 4

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## ASSIGNMENT

Whereas we, the undersigned,

**Kerstin Kühn-Wache**, a citizen of Germany, residing at Heinrich-und-Thomas-Mann-Strasse 27, D-06108 Halle/Saale, Germany; **Joachim Bär**, a citizen of Germany, residing at Pfaelzer Strasse 4, D-06108 Halle/Saale, Germany; **Hans-Ulrich Demuth**, a citizen of Germany, residing at Hegelstrasse 14, D-06114 Halle/Saale, Germany; **Torsten Hoffmann**, a citizen of Germany, residing at Koernerstrasse 8, D-06114, Germany; **Ulrich Heiser**, a citizen of Germany, residing at Franz-Schubert-Strasse 5, D-06108 Halle/Saale, Germany; and **Wolfgang Brandt**, a citizen of Germany, residing at Ellricher Weg 11, D-06120 Halle/Saale, Germany hereby have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled

### SECONDARY BINDING SITE OF DIPEPTIDYL PEPTIDASE IV (DPIV)

identified as Attorney Docket No. 20488/57, which application was filed on September 18, 2003, and assigned Application No. 10/667,200. In the event that the execution date, filing date and/or Application No. are not entered above at the time we execute this document, and if such information is deemed necessary, we hereby authorize and request my attorneys at Brown Rudnick Berlack Israels LLP, One Financial Center, Boston, MA 02111, USA to insert above the execution date, filing date and/or Application No. of said application; and

Whereas, Probiodrug AG, having an office and a place of business at Weinbergweg 22, D-06120 Halle/Saale, Germany, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

Now, therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, reissue and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

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2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment on the dates indicated next to our names.

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Dated: 15.04.04

K. Kühn-Wache  
**Kerstin Kühn-Wache**

Dated: 15.04.04

Witness: Oallenbi

Dated: 15.04.04

Joachim Bär  
**Joachim Bär**

Dated: 15.04.04

Witness: Oallenbi

Dated: 20.4.04

Hans-Ulrich Demuth  
**Hans-Ulrich Demuth**

Dated: 20.4.04

Witness: Oallenbi

Dated: 15.4.04

Torsten Hoffmann  
**Torsten Hoffmann**

Dated: 15.04.04

Witness: Oallenbi

Dated: 15.04.04

Ulrich Heiser  
**Ulrich Heiser**

Dated: 15.04.04

Witness: Oallenbi

Dated: 18.04.04

Wolfgang Brandt  
**Wolfgang Brandt**

Dated: 19.04.04

Witness: Oallenbi



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*700083979A\*

MAY 18, 2004

PTAS

BROWN RUDNICK BERLACK ISRAELS, LLP  
THOMAS M. SAUNDERS, ESQ.  
BOX 1P  
ONE FINANCIAL CENTER  
BOSTON, MA 02111

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/11/2004

REEL/FRAME: 014620/0201  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KUHN-WACHE, KERSTIN

DOC DATE: 04/15/2004

ASSIGNOR:

BAR, JOACHIM

DOC DATE: 04/15/2004

ASSIGNOR:

DEMUTH, HANS-ULRICH

DOC DATE: 04/20/2004

ASSIGNOR:

HOFFMANN, TORSTEN

DOC DATE: 04/15/2004

ASSIGNOR:

HEISER, ULRICH

DOC DATE: 04/15/2004

ASSIGNOR:

BRANDT, WOLFGANG

DOC DATE: 04/14/2004

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014620/0201 PAGE 2

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## ASSIGNEE:

PROBIODRUG AG

WEINBERGWEG 22

HALLE/SAALE, FED REP GERMANY

D-

06120

SERIAL NUMBER: 10667200

FILING DATE: 09/18/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: SECONDARY BINDING SITE OF DIPEPTIDYL PEPTIDASE IV (DP IV)

DOCKET NUMBER: 20488/57

MARCUS KIRK, EXAMINER

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS



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## CONFIRMATORY ASSIGNMENT

**WHEREAS PROBIODRUG AG** (formerly Probiodrug Gesellschaft für Arzneimittelforschung mbH) of Weinbergweg 22, 06120 Halle/Saale, Germany with its seat in Halle and registered with the commercial register of the local court of Halle-Saalkreis under folio no. HRB 13719, ("**Assignor**") is owner of the US Patent s and Patent Applications indicated in the schedule hereto ("**Patents**");

**WHEREAS Assignor** has by virtue of an Asset Transfer Agreement dated 17 June 2004 ("**Asset Transfer Agreement**") assigned the **Patents** to **PROSIDION LIMITED** (formerly OSI Diabetes Limited), a company registered in England and Wales under registered number 4600121 and having its registered office at Windrush Court, Watlington Road, Oxford, OX4 6LT, UK ("**Assignee**");

**NOW, THEREFORE**, to all whom it may concern be it known that **Assignor** having received good and valuable consideration from **Assignee** hereby confirms the assignment and transfer to **Assignee** with full title guarantee, free from all and any encumbrances, charges or other third party rights, of all right title and interest in the **Patents** including any continuation applications, divisional applications or continuation-in-part applications relating to such **Patents** and any patent applications or patents corresponding to or claiming priority from such **Patents** anywhere in the world and any extensions of the exclusivity granted in connection with such **Patents**, including but not limited to, extensions granted under the US Drug Price Competition and Patent Term Restoration Act 1984 and the EC Supplementary Protection Certificate Regulation (Council Regulation (EEC No 1768/92) and any legislation amending, replacing or implementing the foregoing; and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any of the **Patents**, whether committed before or after the date of the **Asset Transfer Agreement**.

For **PROBIODRUG AG**:

Signed: \_\_\_\_\_

Name: Dr Hans-Ulrich Demuth

Capacity: Chief Executive Officer

Date: 21.03.2005

For **PROSIDION LIMITED**:

Signed: \_\_\_\_\_

Name: Dr Anker Lundemose

Capacity: Chief Executive Officer

Date: 5.04.05

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**SCHEDULE**

**PATENTS**

10/186177  
60/301158  
6500804  
10/216349  
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10/196038  
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90/006954  
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